RPTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 se type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ther the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/647,196 Application Number TRANSMITTAL August 21, 2003 Filing Date **FORM First Named Inventor** Katz (to be used for all correspondence after initial filing) 1645 Group Art Unit Unassigned **Examiner Name** Total Number of Pages in This Submission 5 Attorney Docket Number 020547-003210US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of ☐ Drawing(s) Fee Attached Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1) Two (2) references Terminal Disclaimer 2) Return receipt postcard Express Abandonment Request Request for Refund 3) International Search Report Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Total number of pages does not include cited references. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Randolph Ted Apple Reg No. 36,429 Individual name Signature

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Attorney Docket No.: 020547-003210US Client Reference No.: 010068.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Leonard KATZ et al.

Application No.: 10/647,196

Filed: August 21, 2003

For: RECOMBINANT CHALCOMYCIN

POLYKETIDE SYNTHASE AND

MODIFYING GENES

Examiner: Unassigned

Art Unit: 1645

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the International Search Report for the corresponding PCT application.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Leonard KATZ et al.

Application No.: 10/647,196

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Randolph Ted Apple Reg. No. 36,429

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Tel: 650-326-2400 Fax: 650-326-2422

RTA:crf 60440995 v1



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STATEMENT BY A	APPLICANT

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Sheet	1	of	2

·	Complete if Known
Application Number	10/647,196
Filing Date	August 21, 2003
First Named Inventor	Katz, Leonard
Art Unit	1645
Examiner Name	Unassigned
Attorney Docket Number	020547-003210US

			U.S. PATENT DO	CUMENTS+	
		Document Number	Publication Date	Name of Patentee or	Pages Columns Lines Where
Examiner Initials*		MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-3,065,137	11-20-1962	Frohardt et al.	
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-	FOREIGN PATENT DOCUMENTS							
Examiner	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant			
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60440995 v1

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=			LOGUE	Application Number	10/647,196		
INFORMATION DISCLOSURE				Filing Date	August 21, 2003		
STATEMENT BY APPLICANT			PLICANT	First Named Inventor	Katz, Leonard		
				Art Unit	1645		
(u	(use as many sheets as necessary)		Examiner Name	Unassigned			
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Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2			
AB	REEVES et al., "Production of Hybrid 16-Membered Macrolides by Expressing Combinations of Polyketide Synthase Genes in Engineered Streptomyces fradiae Hosts," Chemistry & Biology, 11:1465-1472 (2004).				
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	No. ¹	Cite (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. REFVES et al., "Production of Hybrid 16-Membered Macrolides by Expressing Combinations of Polyketide			

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